

03-18-05

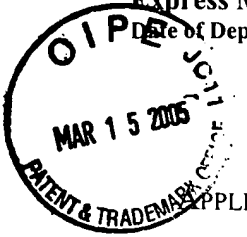
122

Express Mail Label No.: EV392159289US

Date of Deposit: March 15, 2005

PATENT APPLICATION

Attorney Docket No. 26775-501



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Richard L. Ornberg, et al.  
 SERIAL NUMBER : 10/702,407 EXAMINER : David J. Isabella  
 FILING DATE : November 5, 2003 ART UNIT : 3738  
 FOR : BIOPOLYMERS MODIFIED WITH SUPEROXIDE DISMUTASE  
 MIMICS

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

COMMUNICATION

On March 12, 2004, Applicants filed a Second Request for Corrected Filing Receipt in the above-identified application. We enclose herewith copies of the following documents filed on March 12, 2004, as evidenced by the Patent Office stamp appearing thereon: Transmittal Letter, Second Request for Corrected Filing Receipt, and a copy of the Updated Filing Receipt marked to show the correction. These copies were taken from the Patent Application Information Retrieval website.

To date, Applicant has not received the Corrected Filing Receipt. Therefore, we request a Corrected Filing Receipt for the above-identified application.

Respectfully submitted,

*Joseph R. Elrifi* By No. 45,409  
 Joseph R. Elrifi, Reg. No. 39,529  
 Attorney for Applicants  
 c/o MINTZ, LEVIN

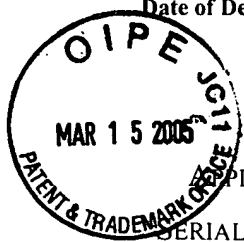
Date: March 15, 2005

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Customer No. 30623

TRA 2016351v1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Richard L. Ornberg, et al.  
SERIAL NUMBER : 10/702,407 EXAMINER : David J. Isabella  
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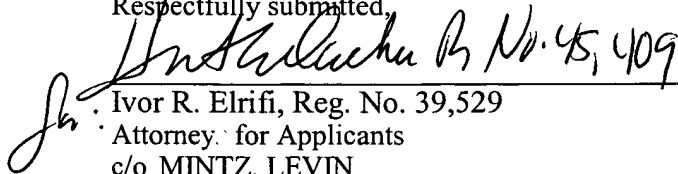
**TRANSMITTAL LETTER**

Enclosed herewith for filing in the above-identified application please find the following documents:

1. Communication (1 page);
2. Copy of Transmittal Letter filed March 12, 2004 (1 page);
3. Copy of Second Request for Corrected Filing Receipt filed March 12, 2004 (2 pages);
4. Copy of Updated Filing Receipt marked to show correction (2 pages);
5. Return Postcard

Date: March 15, 2005

Respectfully submitted,

  
Ivor R. Elrifi, Reg. No. 39,529  
Attorney for Applicants

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Tel: (617) 542-6000  
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Customer No. 30623

Express Mail Label No.: EV287337222US  
Date of Deposit: March 12, 2004

Attorney Docket No. 26775-501

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Richard L. Ornberg, *et al.*  
SERIAL NUMBER : 10/702,407 EXAMINER : Not Yet Assigned  
FILING DATE : November 5, 2003 ART UNIT : 1614  
FOR : **BIOPOLYMERS MODIFIED WITH SUPEROXIDE DISMUTASE  
MIMICS**

Office of Initial Patent Examination's  
Filing Receipt Corrections  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL LETTER**

Transmitted herewith for filing in the present application are the following documents:

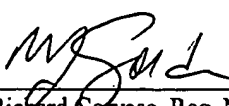
1. Second Request for Corrected Filing Receipt (2 pages);
2. Copy of corrected Filing Receipt with changes noted thereon (2 pages); and
3. Return Postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (212) 935-3000, New York, New York.

The Commissioner is authorized to charge any fees that may be due, or to credit any overpayment, to the undersigned's account, Deposit Account No. 50-0311, Ref. No. 26775-501, Customer No.: 35437. A duplicate copy of this transmittal letter is enclosed herewith.

Respectfully submitted,

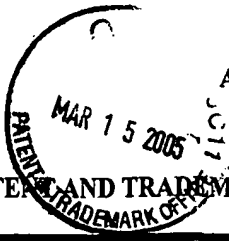
Dated: March 12, 2004

  
Richard Gervase, Reg. No. 46,725  
Matthew J. Golden, Reg. No. 35,161  
Attorney/Agent for Applicants  
c/o MINTZ, LEVIN  
666 Third Avenue-24<sup>th</sup> Floor  
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Telefax: (212) 983-3115

Express Mail Label No.: EV287337222US

Date of Deposit: March 12, 2004

Attorney Docket No. 26775-501



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Richard L. Ornberg, *et al.*

SERIAL NUMBER : 10/702,407

EXAMINER : Not Yet Assigned

FILING DATE : November 5, 2003

ART UNIT : 1614

FOR : **BIOPOLYMERS MODIFIED WITH SUPEROXIDE DISMUTASE  
MIMICS**

Office of Initial Patent Examination's

Filing Receipt Corrections

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

## SECOND REQUEST FOR CORRECTED FILING RECEIPT

1. Applicants request a Corrected Filing Receipt for the above-mentioned patent application. Applicants enclose a copy of the Filing Receipt with the corrections noted thereon. Issuance of a corrected filing receipt is respectfully requested.

2. There is an error with respect to the following data, which is:

☒ incorrectly entered

*and/or*

☐ omitted.

### Error in

### Correct data

- |   |  |
|---|--|
| 1. <input type="checkbox"/> Applicants' name<br>(first, last name)                              |  |
| 2. <input type="checkbox"/> Applicants' address   |  |
| 3. <input type="checkbox"/> Title   |  |
| 4. <input type="checkbox"/> Filing Date   |  |
| 5. <input type="checkbox"/> Serial Number   |  |
| 6. <input type="checkbox"/> Foreign/PCT Application Re:   |  |
| 7. <input type="checkbox"/> Continuing Data   |  |
| 8. <input checked="" type="checkbox"/> Other--Domestic Priority data<br>as claimed by Applicant |  |

This application is a CON of 09/580,007 5/26/2000  
which claims benefit of 60/136,298 05/27/1999 ABN

3. Applicants believe that no fee is due. However, the Commissioner is authorized to charge any additional fees that may be due, or to credit any overpayment, to Deposit Account No. 50-0311, Reference Number 26775-501.

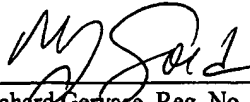
Applicants: Richard L. Ormberg, *et al.*  
U.S.S.N. 10/702,407

4. Other documents:

☒ Copy of Filing Receipt with changes noted thereon.

Respectfully submitted,

Dated: March 12, 2004

  
Richard Gervase, Reg. No. 46,725  
Matthew J. Golden, Reg. No. 35,161  
Attorney/Agent for Applicants  
c/o MINTZ, LEVIN  
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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

mb

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/702,407	11/05/2003	1614	1460	26775-501	13	23	2

Matthew J. Golden, Esq.  
Mintz, Levin, Cohn, Ferris, Glovsky and Popeo, P.C  
The Chrysler Center  
666 Third Avenue, 24th Floor  
New York, NY 10017

CONFIRMATION NO. 8333

UPDATED FILING RECEIPT



\*OC000000012013612\*

*2nd Request - Corrected Filing Receipt*

Date Mailed: 03/03/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Richard L. Ornberg, Wildwood, MO;  
Kishore Udipi, San Rosa, CA;  
Denis Forster, Ladue, MO;  
Dennis P. Riley, Chesterfield, MO;  
Kenneth B. Thurmond, Plano, TX;  
Susan Henke, Webster Groves, MO;  
Kerry Brethauer, Belleville, IL;  
Saikat Joardar, Rockville, MD;

RECEIVED

MAR 11 2004

Mintz Levin - NYC

**Domestic Priority data as claimed by applicant**

This application is a CON of 09/580,007 05/26/2000-ABN  
which claims benefit of 60/136,298 05/27/1999-ABN

**Foreign Applications**

If Required, Foreign Filing License Granted: 02/04/2004

Projected Publication Date: 06/10/2004

Non-Publication Request: No

Early Publication Request: No

<input type="checkbox"/> File Folder	Done
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## Title

Biopolymers modified with superoxide dismutase mimics

## Preliminary Class

424

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).